

## Establishing Lower Bounds Practice

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### Lower Bounds

A *lower bound* gives

An established lower bound means

Lower bounds can be exact but are often expressed as a Big-\_\_\_\_\_ efficiency class.

A lower bound is *tight*

### Lower Bound Examples

- the number of comparisons needed to find the largest element in an unordered set of  $n$  numbers

Lower bound:  $\Omega(\quad)$  tight?

- number of comparisons needed to sort an arbitrary array of size  $n$

Lower bound:  $\Omega(\quad)$  tight?

- number of comparisons necessary for searching in a sorted array of  $n$  numbers

Lower bound:  $\Omega(\quad)$  tight?

- the number of comparisons needed to determine if all elements of an array of  $n$  elements are unique

Lower bound:  $\Omega(\quad)$  tight?

- number of steps needed to multiply two  $n$ -digit integers  
Lower bound:  $\Omega(\quad)$       tight?
  - number of multiplications needed to multiply two  $n \times n$  matrices  
Lower bound:  $\Omega(\quad)$       tight?
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### Trivial Lower Bounds

A *trivial lower bound* is established by

#### Generating all permutations of a set of $n$ items

Trivial lower bound:  $\Omega(\quad)$       Tight bound?

Why?

#### Evaluating a polynomial of degree $n$ , $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$

Trivial lower bound:  $\Omega(\quad)$       Tight bound?

Why?

#### Multiply two $n \times n$ matrices

Trivial lower bound:  $\Omega(\quad)$       Tight bound?

Why?

#### Traveling Salesman with $n$ cities

Trivial lower bound:  $\Omega(\quad)$       Tight bound?

Why?

**What about finding an element in a collection of size  $n$ ?**

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## **Information Theoretic Lower Bounds**

*An information-theoretic lower bound* is based on

**Binary search in a sorted array of size  $n$**

**A Decision Tree: Find minimum of three numbers  $a, b, c$**

**Decision tree properties**

- The number of leaves must be
- The operation of an algorithm on a particular input is modeled by
- The number of comparisons is equal to
- Worst-case behavior is determined by

Any such tree with a total of  $l$  leaves (outcomes) must have  $h \geq$

## **Decision Tree for Selection Sort of 3 elements**

**Decision Tree for Insertion Sort of 3 elements**

Any **comparison-based** sorting algorithm can be represented by a decision tree. Assuming our input has  $n$  values:

- The number of leaves (outcomes) must be  $\geq$
- The height of binary tree with \_\_\_\_ leaves  $\geq$
- This tells us the number of comparisons in the worst case  
 $C_{worst}(n) \geq$

for **any** comparison-based sorting algorithm. (!!)

- Is this bound tight?

The idea of *adversary arguments* to find a lower bound is discussed in the notes.